The Emergence of Determiner Clisis in Upriver Halkomelem

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1. Introduction
Upriver Halkomelem (Coast Salish) exhibits a process of cliticizing determiners onto the previous element in speech (typically a verbal complex). Illustrated here are two prime examples (all examples are from the ‘Sasq’ets’ text, as told by Rosaleen George and the ‘Cottonwood’ text, as told by Elizabeth Herrling):

(1) osu thiy-t-es=te sil-áwtxw s-kwtáxw=te lálem
    and.then build-tr-3S=det cloth-house nom-let.inside=det house
    ‘So he built the tent inside the house’ (Cottonwood, line 11)

(2) “oh my” éwe i-s olu qel=ye sásqets
    neg aux-3SS as? bad=det.pl sasquatch
    “oh my” The sasquatch is not as bad.’ (Sasq’ets, line 73)

What results is a mismatch between prosody and syntax, given that the syntactic elements that form a constituent (determiner and noun phrase) are prosodically separated. While such a phenomenon is attested in certain other languages of the Pacific Northwest, such as Kwakw’ala (cf. Anderson 1985, 1992, 2005), the process is restricted in Upriver Halkomelem to connected-speech contexts, and displays a great deal of variability.

This study consists of an analysis of two narrative texts told by two speakers of Upriver Halkomelem. The goal of this paper is to explore possible accounts of the development of determiner clisis which assume a strictly syntactic or strictly phonological motivation. It will be shown that both of these analyses are inadequate in accounting for the data.

§2 gives a brief overview of determiners in Upriver Halkomelem, while §3 documents the behavior of determiners in narrative texts. In §4 two alternative analyses of clisis in Upriver Halkomelem are outlined and discussed: a syntactic account and a phonological account, and problems with both of these accounts are explained. In light of this, §5 explores a larger context that determiner clisis may fit into, and §6 concludes.

2. Upriver Halkomelem Determiners
There are 7 determiners in Halkomelem, shown below.

(3) Halkomelem determiners (Wiltschko 2002:160; originally adapted from Galloway 1993:387)

<table>
<thead>
<tr>
<th>Present + visible</th>
<th>Male/unmarked</th>
<th>Female</th>
</tr>
</thead>
<tbody>
<tr>
<td>Near + not visible</td>
<td>kwthe</td>
<td>se, kwse</td>
</tr>
<tr>
<td>Distant</td>
<td>kw’e</td>
<td>kw’the, kwse</td>
</tr>
<tr>
<td>Plural</td>
<td>ye, (any of the above)</td>
<td>ye, (any of the above)</td>
</tr>
</tbody>
</table>
Determiners semantically encode features for gender, number, “visibility”, and location. Their prosodic behavior has not been described in very much detail.

While there are numerous determiners available in the language, very few are used in spontaneous speech. For example, in the Sasq’ets text, only the determiners te, ye, and kw’e are used. The following illustrates the frequency of use for each text analyzed:

(4) Textual Frequency of Upriver Halkomelem Determiners

<table>
<thead>
<tr>
<th>Form</th>
<th>Frequency in text</th>
</tr>
</thead>
<tbody>
<tr>
<td>te</td>
<td>71</td>
</tr>
<tr>
<td>ye</td>
<td>8</td>
</tr>
<tr>
<td>kw’e</td>
<td>5</td>
</tr>
</tbody>
</table>

It can be pointed out here that te is used an overwhelming majority of the time in these texts, perhaps due to the fact that it is an unmarked form (note also the unmarked status of ye and kw’e).

3. Textual Cases of Determiner Clisis

It is observed that in texts, such as narratives, determiners exhibit unexpected behaviors. For instance, the examples below illustrate how determiners tend to encliticize onto a preceding element:

(5) su me xwi=te swíyeqe and then come wake.up=det man ‘and then the man woke up’ (Sasq’ets line 5)

(6) te-wát-es kw’e til-t=te teqtál-tset somebody det clear-trans=det door-1pl ‘Somebody cleared our door’ (Sasq’ets line 27)

(7) xwem kw’e-s xwemá-s=te teqtál-tset possible det-nom open-3s=det door-1pl.poss ‘It’s possible to open our door again’ (“We can open our door”) (Sasq’ets line 28)

(8) osu lhxe::lexw te swíyeqe li=te skwchós-tel and so stand det man prep=det window ‘So the man was standing by the window’ (Sasq’ets line 62)

What results is a mismatch between prosody and syntax, given that the syntactic elements forming a constituent (determiner and noun phrase) are prosodically split. This situation is shown in examples (1) and (2), repeated below as (9) and (10) with brackets to illustrate the different groupings (square brackets indicate syntactic constituency, while curly brackets show prosodic constituency).

(9) osu {thíy-t-es=[te] sil-áwtxw} {s-kwtáxw=[te] lálem} and.then {build-tr-3S=[det] cloth-house} {nom-let.inside=[det] house}
While such a phenomenon is attested in certain other languages of the Pacific Northwest, such as Kwak’ala and languages in the Tsimshianic family, no mention has been made of the process in Salish languages. The Kwak’ala case is well known, and examples are presented below:

(11) Nep’id-i-da gənanəm-əa gukʷsa t’isəm
    throw-SUBJ-ART child-OBJ house-INSTR rock
‘The child threw a rock at the house’ (Anderson 1985:166)

(12) yəlkʷəmas=ida bəgʷanəma=χ-a ‘watsi=s-a gʷaxəux
    cause hurt-DEM man-OBJ-DEM dog-INST-DEM stick
‘The man hurt the dog with the stick’ (Anderson 2005:16)

Anderson notes that although the determiner “provides case marking and deictic information about the nominal that follows, it attaches phonologically to the preceding word, regardless of that word’s syntactic affiliation.” (2005:16-17; emphasis in original). As Jackendoff (1997:112) has additionally noted, “This looks so strange because it is a massive violation of the overwhelming preference for syntactic words to correspond to phonological words.” There is a similar phenomenon found in Tsimshianic languages. For instance, in Gitksan, the “connectives”, which encode certain properties of noun phrases, are enclitic to the verbal complex rather than prosodically affiliated with the noun phrase (Rigsby 1986):

(13) Had-ixs=hl gat=gi
    swim=CNN man=DIST
‘The man swam’ (Rigsby 1986:277)

In contrast to these other cases, the process is restricted in Upriver Halkomelem to connected-speech contexts, and displays a great deal of variability. Furthermore, there are numerous cases where a determiner will not encliticize onto a preceding host. For example:

(14) su le tl’ékw’el te heyqw-s álhtel
    and.then aux go.out det fire-3rd.poss 3rd pron
‘and then their fire went out’ (Sasq’ets, line 3)

(15) s-pípew ye thqát
    nom-freeze.dim det.pl tree
‘the trees were frozen’ (Cottonwood, line 2)

While at first glance this variability appears to suggest unconstrained optionality in rapid speech, the phenomenon is robust enough to warrant an explanation. We will therefore
develop two accounts of potential sources of cliticization and test them against the available data.

4. Two Possible Analyses
In this section we outline two possible analyses for the determiner clisis phenomena in the language. One possible account involves the determiner being grammaticalized as a part of the verbal complex, perhaps as an agreement morpheme. An alternative account would view the clisis as a phonological phenomenon, driven by the conditions on stress or prominence in the language and restricted to connected-speech contexts. Both of these analyses are outlined below.

4.1. A Morphosyntactic Account
A potential syntactic account of determiner clisis in Upriver Halkomelem would view the determiners as undergoing a diachronic change whereby they are being reanalyzed as components of the verb phrase, rather than as specifiers to the following noun phrase. This grammaticalization of the determiners would potentially result in something like an agreement marker on the predicate.

There are, however, three broad criticisms to be leveled at this sort of account. The first complication comes from the existence of an agreement system with properties very much unlike those displayed by the elements under consideration here. For instance, while the determiner system tracks gender, number, and ‘deictic’ properties of noun phrases, the agreement system tracks person and number features. The syntactic distributions are also quite different. Agreement morphology must be located on complementizer, mood, or verbal heads (Witschko 2003), while, as we shall see, determiners are not so constrained. Finally, there are two series of agreement morphemes whose appearance depends on clause type. Determiners, on the other hand, do not vary from one clause type to the other. While it is still possible that, despite these marked differences, the determiners have in fact been subsumed into the agreement system (perhaps as an early stage of a process that would see the two sets ultimately fused), we think this is unlikely. Further reasons to doubt this are adduced below.

There are also clitic-host dynamics which cast doubt on the morphosyntactic account, as there are examples with preceding elements which are not verb phrases. For instance, (16) illustrates a determiner which has encliticized onto a preposition.

(16) li ye si-l-yólexwe lo-lets’e álhtel li=te lálém
aux det.pl old.people.pl one.redup. 3rd.pron prep=det house
‘the old people, they were alone in the house’ (Sasq’ets line 2)

At this point it may be argued that the determiner will encliticize onto preceding elements which are predicative in nature, or that are case assigners. However, there is additional evidence which suggests that this is not the case. For example, in (17) the determiner is enclitic to a nominal complex. The nominal status of this element is confirmed by the possessive marker which is suffixed to it.

(17) li ye si-l-yólexwe lo-lets’e álhtel li=te lálém
aux det.pl old.people.pl one.redup. 3rd.pron prep=det house
‘the old people, they were alone in the house’ (Sasq’ets line 2)
Since there is no consistent syntactic category that serves as a host to enclitic determiners, it seems highly unlikely that determiner clisis is syntactically motivated in the language. We turn next to the possibility that the process is phonologically motivated.

4.2. A Prosodic Account
Having shown a likely syntactic account to be insufficient in characterizing Upriver Halkomelem clisis, we will attempt in this section to construct a plausible prosodic account. As is often the case with functional elements, the determiners in Upriver Halkomelem are phonologically weak, consisting of a single open syllable headed by a schwa. We will explore the hypothesis here that the process of determiner clisis in Upriver Halkomelem is a product of the word-level stress system of the language, which would be viewed as being extended to a larger prosodic and morphological domain in running speech. The effect of this extension is a pressure towards incorporating determiners into the computation of stress when it results in a preferred metrical structure.

In all of the cases seen thus far, a determiner has encliticized onto a preceding element when the preceding vowel was a full vowel. This is again illustrated in (18-19).

(18) xwem kw’e-s xwemá-s=te teqtál-tset (Sasq’ets, line 28)
possible det-nom open-3s=det door-1pl.poss
‘It’s possible to open our door again.’ (‘We can open our door’)

(19) le kw’áts lam=te teqtál (Sasq’ets, line 42)
aux look dir=det door
‘He looked out the door.’

In cases where a reduced vowel precedes, there are examples where no clisis occurs:

(20) det preceded by reduced vowel
    me kw’e-ts-l-óxw-es kw’e tewátes (Sasq’ets, line 15)
come see-trans-1pl.o-3rd’s det somebody
‘Somebody has seen us’

(21) “oh my” xete, su xwmá-x-es te xálh (Sasq’ets, line 26)
say so open-trans-3erg det door
“oh my”, he said. So he opened the door.’

This pattern is mirrored by the stress pattern of the language, whereby full vowels will receive primary stress; otherwise, ceteris paribus, a trochaic pattern will emerge such that a series of reduced vowels (or schwa, represented orthographically by unstressed <e>) will be footed as (⊂ <e>) (see Bianco 1998 and Shaw et al. 1999 for the stress patterns in
other dialects, as well as Bar-El & Watt 1998 for a similar analysis of Squamish).

Under this analysis, it is assumed that in the default case, syntactic constituency will be respected by prosodic constituency. However, there are two conditions under which this approach predicts enclisis to occur — a noun with a full vowel in the initial syllable will tend to repel the determiner, and a full vowel in the final syllable of the preceding word will tend to attract the determiner. The former case reflects a tendency to maintain a trochaic rather than iambic footing; the latter reflects a tendency to compose well-formed trochaic feet from full vowels and stray schwas. A further prediction is that a reduced vowel in the preceding word (which ends in a well-formed foot), and a full vowel in the following noun will derive ambivalent results. This is the case in examples such as (22-23).

(22) su qól-em te máqa  
    ‘So they scooped up some snow.’ (Sasq’ets, line 35)

(23) xete te swíyeqe “ewete-l lhq’é-l-exw”  
    ‘The man said: “I don’t know.”.’ (Sasq’ets, line 32)

If this is indeed the mechanism behind Upriver Halkomelem clisis, we should expect clisis wherever the determiner follows a word ending with a stressed vowel, and variability after words ending with an unstressed vowel. This variability should reflect the possibility of clisis being employed as a strategy for optimal footing at the word level. These predictions are summarized in the table below.

(24) Predicted typology of clisis on prosodic account

<table>
<thead>
<tr>
<th>stress</th>
<th>schwa</th>
<th>non-stress</th>
<th>variable*</th>
</tr>
</thead>
<tbody>
<tr>
<td>stress</td>
<td>clisis</td>
<td>clisis</td>
<td>variable*</td>
</tr>
<tr>
<td>non-stress</td>
<td>clisis</td>
<td>variable*</td>
<td></td>
</tr>
</tbody>
</table>

*variability should depend on the possibility of the preceding syllable forming an optimal foot with its preceding syllable

As it happens, these clear-cut predictions are not borne out. The next table gives the numbers for the determiners in the two texts represented in this study.

(25) Actual distribution of Upriver Halkomelem clitics

<table>
<thead>
<tr>
<th>Tokens</th>
<th>Clitics</th>
</tr>
</thead>
<tbody>
<tr>
<td>‘Sasqets’</td>
<td>17</td>
</tr>
<tr>
<td>‘Cottonwood’</td>
<td>8</td>
</tr>
<tr>
<td>Totals</td>
<td>25</td>
</tr>
</tbody>
</table>
b. ‘ambivalent’ cases

<table>
<thead>
<tr>
<th></th>
<th>Tokens</th>
<th>Clitics</th>
</tr>
</thead>
<tbody>
<tr>
<td>‘Sasqets’</td>
<td>34</td>
<td>11</td>
</tr>
<tr>
<td>‘Cottonwood’</td>
<td>13</td>
<td>5</td>
</tr>
<tr>
<td>Totals</td>
<td>47</td>
<td>16</td>
</tr>
</tbody>
</table>

It quickly becomes clear that the extended footing hypothesis does not make the correct predictions. There is a high degree of variability in the case that is meant to be most favorable to clisis, although those cases are predicted to be relatively invariant. While variability is expected when an unstressed syllable precedes the determiner, the variability that is displayed does not correlate with the predicted factors. Thus, the attempt to reduce Upriver Halkomelem determiner clisis to an extension of word level footing does not capture the attested patterns.

4.3 Summary

We have so far explored two potential analyses of Upriver Halkomelem determiner clisis – a morpho-syntactic analysis in which the determiner system is being absorbed into the agreement system, and a prosodic analysis in which the phonologically weak determiners are commandeered by the word level footing strategies of the language in connected speech contexts. Both of these accounts were found lacking in crucial respects. While this does not guarantee that there is no adequate account that appeals only to syntactic or prosodic mechanisms, we assume at this point that such is indeed the case. In the next section, we extend the domain of inquiry to the auxiliary system, where similar cliticizing behavior is exhibited.

5. A larger context?

Thus far, we have considered only the behavior of determiners in discourse situations. Neither of the proposed solutions are capable of generating the type of behavior we have seen. It is possible, though, that the real generalization lies beyond the limited domain we have considered thus far. In this section we consider a further context in which similar behavior is exhibited, this one involving some of the auxiliaries.

Upriver Halkomelem employs two separate sets of auxiliaries which have distinct syntactic distributions and semantic functions (see Galloway 1993 for a discussion). These are shown below.

(26) Upriver Halkomelem auxiliaries (from Galloway 1993:359)

<p>| | | | | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>me</td>
<td>mí</td>
<td>‘come to’</td>
<td>from the</td>
<td>emí ~ mí</td>
<td>‘come’</td>
</tr>
<tr>
<td>le</td>
<td></td>
<td>‘go, go to, going to’</td>
<td>from the full form</td>
<td>lam</td>
<td>‘go(ing) (to)’</td>
</tr>
<tr>
<td>í</td>
<td></td>
<td>‘here’</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>li</td>
<td></td>
<td>‘there’</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

While one auxiliary (lam) does not appear to engage in clisis, the others (me, li, i) seem to exhibit the same sort of gradient cliticization we have noted in the determiner system.
(27) kwú-t-es te steliq’éyus=the xwelítem
take-tr-3S det horse=det.fem white.person
qesu=me má-x-es te thqát…
and.then=aux take-tr-3S det tree

He fetched the white person’s horses and then he took the tree away…
(Cottonwood, lines 14-15)

As shown in (27), auxiliaries that are following a sentential conjunction will in some
instances encliticize. While it is certainly possible that the behavior of determiners and
of auxiliaries is unrelated, we suspect that a unified account is in order. If this is so, it
would seem that the pauses come ‘in the wrong places’ (Donna Gerdts, p.c.). Rather than
lining the prosodic boundary up with a major syntactic constituent, the intonation unit
includes the first element of the following constituent to the exclusion of the rest.

This suggests that what may be at work here is something operating on a higher
level, on the order of information structure, discourse-level intonation units (Chafe 1994),
or processing strategies (Bybee 2001). For instance, the encliticization exhibited by the
determiners and auxiliaries in Upriver Halkomelem may be instances of the process of
“chunking” discussed by Bybee. As Bybee states,

“The production of linguistic material is not neutral with respect to
directionalility. Since one word follows another in a temporal sequence, it
is plausible to suppose that the tendency to chunk as much material as
possible proceeds in the same direction as production. Thus, given a word
as a starting point, as much material as possible is pulled in after, or from
the right of, that word. It is possible, then, that chunking favors situations
where the first element is highly predictive of the second element”

Such a process may very well be at work in the language. A determiner (in this case
most specifically te) will typically follow a verbal complex, and thus is likely to be
chunked with that verbal complex. The result of this chunking could perhaps then serve
as a pause point.

All speculation aside, clearly more work is needed to establish just what the
generalizations are, and to see if there is indeed something systematic about the behavior
of determiners and auxiliaries at one or both of these levels.

6. Conclusion
This paper has illustrated some of the unexpected behaviors of determiners in Upriver
Halkomelem spoken narratives. One of these behaviors is the tendency to encliticize
onto a preceding element. A syntactic analysis was offered that keyed in on the
agreement-like properties of the determiner system, but it was shown to be inadequate. A
prosodic account was also offered, one based on the word-level footing strategies of the
language and the system that would emerge if the parsing mechanisms were able to co-
opt the determiners as weak elements of feet. This too was shown to be inadequate.
Then further findings involving the auxiliary system were reported, a system which also takes part in the cliticization processes in discourse contexts. The connected-speech status of this process indicates that this may have to do with some higher-level mechanisms centered on information structure or discourse-level intonation units. While it is likely on such an account that this is a stable system, it may also be that such mechanisms could be motivating a diachronic change whereby determiners and auxiliaries will consistently be enclitics to a preceding element (as is the case in languages such as Kwak’wala; cf. Anderson 1985).

Finally, it is a noteworthy discovery that other dialects of Halkomelem display similar properties, such as Cowichan (Donna Gerdts, p.c.), and that the same is true for other closely related Coast Salish languages, such as Sencoten (Benner, 2006). We speculate that further investigation into determiner clisis within Upriver Halkomelem, as well as in these neighboring dialects and languages will provide further clues as to how clisis operates, what governs variability in certain contexts, and also whether this is a diachronic change in progress.

Notes
*Thanks to Martina Wiltschko and Strang Burton, Donna Gerdts and the audience at WAIL 2006 for comments. Many thanks go to Dr. Elizabeth Herrling for teaching us about her language, and to the Sto:lo Nation for making the narrative texts available. Research was made possible through a SSHRC grant (410-2002-1078) awarded to Martina Wiltschko (principal investigator).

1. The Upriver Halkomelem forms are presented in the official orthography used by the Stó:lō people. The key to the orthography of Upriver Halkomelem is as follows a = æ or e; ch = tf, ch’ = tf’, e (between palatals) = t, e (between labials) = o, e (elsewhere) = ò, lh = t, o = a, ọ = o, xw = xw, x = x, y = j, sh = j, th = ð, th’ = tð’, tl’ = t1’, ts = c, ts’ = c’, x = x or xj, xw = xw, ’ = high pitch stress, ‘ = mid pitch stress (see Galloway 1980 for discussion). Original data are used with permission of the Stó:lō Nation language program.

References


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